



PROMOTE FISHING VESSEL SAFETY

The Coast Guard requests your cooperation in promoting the safety of life and property on the nation's waterways. By observing the prescribed laws and regulations and by following safe boating practices, you can help reduce the number of lives lost or injuries sustained and prevent damage to property. Fishermen are strongly encouraged to take advantage of the Voluntary Dockside Examination (VDE) Program. The VDE can save you time and money and more importantly may increase your chances of surviving a marine casualty.

PURPOSE OF BOARDING

The Coast Guard is the primary maritime law enforcement and safety agency of the United States. Under statutory authority Coast Guard boarding officers may, at any time, go onboard vessels subject to the jurisdiction of the United States and make inquiries, examine ship's papers, and inspect, examine and search the vessel for the detection, prevention, and suppression of violations of U.S. laws. The Coast Guard is also authorized to make seizures and arrests and use all necessary force to compel compliance while conducting boardings.

The Coast Guard enforces regulations related to commercial fishing, recreational boating safety, boating while intoxicated, pollution prevention, vessel documentation and registration, and many other areas including criminal laws such as possession and distribution of illegal drugs, illegal weapons, stolen boats, alien smuggling as well as custom laws. Additionally, the Coast Guard checks for compliance with applicable U.S. Fisheries regulations and agreements. All this is done through an active boarding policy in which boating teams follow established procedures for going on board U.S. and foreign vessels subject to U.S. jurisdiction to conduct inspections.

QUESTIONS?

Owners/Operators who have questions concerning Coast Guard Fishing Vessel boardings conducted at sea or would like to schedule a "NO fault / NO penalty" dockside examination, please call the USCG toll free information line at **800-368-5647**, then dial 6 for the Commercial Fishing Vessel Safety program. **REMEMBER: Voluntary dockside examinations can save you time and money. The presence of a valid examination decal may reduce your chances of being boarded or greatly reduce the at-sea boarding time spent on safety checks.**

IMPORTANT NOTICE TO OWNER OR OPERATOR:

If you receive a "NOTICE OF VIOLATION," correct the discrepancy as soon as possible. A completed Voluntary Dockside Examination will reduce the likelihood of being assessed a monetary civil penalty. Continued operation without required safety equipment may be an invitation to a serious accident. It may also result in additional penalties for repeated violation of Federal Law.

PROCEDURES

A copy of this form will be mailed to the responsible Coast Guard District Commander who will review all applicable information to determine if civil penalty action should be commenced. Should civil penalty action be considered appropriate for the violation(s) noted, you will be notified in writing by the Coast Guard Hearing Officer of the charges against you, the maximum penalty which may be assessed, the procedures used in assessing and collecting the penalty, the amount of penalty appearing appropriate, and your rights in the proceeding. Within 30 days of receipt of this notification you may request a hearing or provide, in writing, any information or material in lieu of a hearing that denies, explains, or mitigates the violation. Any information you submit will be used in the determination whether to assess a penalty, close the case without action, remit or mitigate the penalty, or take other action under the applicable statute.

If, after you have been provided notice and an opportunity to a hearing, a civil penalty is assessed, you will be notified of the amount of the penalty assessed. You may appeal the assessment to the Commandant of the Coast Guard within 30 days of receipt or the Coast Guard may begin proceedings to collect the penalty in a Magistrate's or District Court.

For most violations, the maximum penalty is not more than \$5,000.00 for each violation. The maximum criminal penalty for violations is not more than \$5,000.00 or one-year imprisonment or both for each willful, or grossly negligent violation. However, the civil and criminal penalties available for cases involving pollution prevention violations are substantially higher.

PRIVACY ACT STATEMENT:

Required by Public Law 93-579

AUTHORITY:

46 U.S.C.2302, 46 U.S.C.4507, 46 U.S.C.12309, 46 U.S.C.12122, 14 U.S.C.89

PRINCIPAL PURPOSE(S):

To document boarding officer's report, to assess civil penalty action (if appropriate), to facilitate collection of any civil penalties assessed, and to give boat owner/operator written notice of boarding. Information will be retained on file for 3 years and will be considered in the event of future violation(s).

ROUTINE USE(S):

Information may be used to locate a violator and otherwise to assist in the collection of assessed civil penalties. Information is available to other law enforcement agencies as permitted by law.

MANDATORY OR VOLUNTARY DISCLOSURE:

Providing a vessel registration/certificate of number by the user of a vessel is mandatory. Failure to provide vessel registration, upon being boarded by a Coast Guard officer, may subject the owner to a penalty or fine. Providing a Social Security Number, Drivers License number, and date of birth is voluntary.

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	COMMERCIAL FISHING VESSEL BOARDING REPORT	BOARDING DATE (MM/DD/YY) / /	BOARDING TIME (24-HOUR)	ACTIVITY NUMBER	
VESSEL TYPE: <input type="checkbox"/> Fishing <input type="checkbox"/> Fish Tender <input type="checkbox"/> Fish Processing Vessel			VESSEL TYPE CODE:		
VESSEL NUMBER		VESSEL NAME			
HULL IDENTIFICATION NUMBER		VESSEL MAKE			
VESSEL MODEL		YEAR	PROP. <input type="checkbox"/> Outboard <input type="checkbox"/> Inboard Gas <input type="checkbox"/> Manual <input type="checkbox"/> Inboard Diesel TYPE <input type="checkbox"/> Inboard/Outboard <input type="checkbox"/> Other (specify)		
LENGTH Ft. In.	NET TONS	HP	HULL <input type="checkbox"/> Aluminum <input type="checkbox"/> Fiberglass <input type="checkbox"/> Steel <input type="checkbox"/> Wood TYPE <input type="checkbox"/> Other (specify)		
CFVS DECAL #	DATE ISSUED (MM/YY): / /	FLAG	ENGINE COMPARTMENT: <input type="checkbox"/> Open <input type="checkbox"/> Closed	POBs	PFDs
			FUEL COMPARTMENT: <input type="checkbox"/> Open <input type="checkbox"/> Closed		
OWNER'S NAME (Last, First & MI) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms.			<input type="checkbox"/> Owner was Operator <input type="checkbox"/> Owner on Board, Not Operator <input type="checkbox"/> Owner Not On Board		
STREET ADDRESS/MAILING			TELEPHONE (with area code) () - - - - -		
CITY, STATE & ZIP CODE			FEDERAL FISH PERMIT #		
DRIVER'S LICENSE NUMBER & STATE		SOCIAL SECURITY NUMBER		BIRTH DATE (MM/DD/YY) / /	
OPERATOR'S NAME (Last, First & MI) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms.			TELEPHONE (with area code) () - - - - -		
STREET ADDRESS/MAILING			OPERATOR PERMIT #		
CITY, STATE & ZIP CODE			FISHERY PLAN CODE:		
DRIVER'S LICENSE NUMBER & STATE		SOCIAL SECURITY NUMBER		BIRTH DATE (MM/DD/YY) / /	
OBSERVED IN USE: <input type="checkbox"/> Inside Boundary Line <input type="checkbox"/> Outside Boundary Line <input type="checkbox"/> Inland Nav. Rules Apply <input type="checkbox"/> COLREGS Apply			LATITUDE:		
BODY OF WATER:	LOCATION:	ACTIVITY:	LONGITUDE:		
REQUIREMENTS FOR ALL VESSELS (Fishing Vessels with a current CFVS Decal: Check items in blue)					
140	Life Preservers & Other PFDs	46 CFR 25 & 28.110	141	Ring Life Buoys	46 CFR 28.115
142	Survival Craft	46 CFR 28.120	143	Stowage of Survival Craft	46 CFR 28.125
144	Survival Craft Equipment	46 CFR 28.130	147	Distress Signals	46 CFR 28.145
148	Emergency Position Indicating Radio Beacons (EPIRBs)	46 CFR 25.26 & 28.150, 47 CFR 80	149	Fire Extinguishing Equipment	46 CFR 25.30 46 CFR 28.155 & .160
176	Material condition <input type="checkbox"/> SAT <input type="checkbox"/> UNSAT MSO Follow-up recommended		177	Stability/Stability Instructions	46 CFR 28.530 & 46 CFR 28.65 (b) (5)
105	Sound Producing Device	33 USC 2033, Rule 33	138	Backfire Flame Control	46 CFR 25.35
139	Ventilation	46 CFR 25.40-1	145	Lifesaving Equipment Markings	46 CFR 25 & 28.135
146	Maint/Insp of Lifesaving Equipment	46 CFR 28.140	150	Injury Placard	46 CFR 28.165
151	Waste Mgmt Plan (oceangoing vessels>40ft)	33 CFR 151.55 & .57	152	Marine Sanitation Devices	33 CFR 159.7
153	Copy of Nav Rules (inland waters only)	33 CFR 88.05 46 CFR 28.225	154	Navigation/Anchor Lights Sunset/Sunrise (24-Hour):	33 USC 2020 & 2026 Rules 20 & 26
155	Oil Pollution Placard (vessels ≥ 26 ft)	33 CFR 155.450	156	Garbage Placard (vessels ≥ 26 ft)	33 CFR 151.59
157	FCC SSL	47 CFR 80.405	158	Load Line Certificate	46 USC 51
159	Vessel Numbering & Registration	33 CFR 173 46 CFR 67.121 & .123	173	Document/Official Number	46 CFR 67
199	Other				
REQUIREMENTS FOR DOCUMENTED VESSELS OPERATING BEYOND THE BOUNDARY LINE OR W/ MORE THAN 16 POBs					
168	High Water Alarms	46 CFR 28.250	171	Instructions, Drills & Safety Orientation	46 CFR 28.270
160	Fireman's Outfit & SCBA	46 CFR 28.205	161	First Aid Training & Equipment	46 CFR 28.210
162	Guards for Exposed Hazards	46 CFR 28.215	163	Navigational Information	46 CFR 28.225
164	Compasses & Deviation Tables	46 CFR 28.230	165	Anchors & Radar Reflectors	46 CFR 28.235
166	General Alarm System	46 CFR 28.240	167	Communication Equipment	46 CFR 28.245 & .375 33 CFR 26.03
169	Bilge Pumps, Piping & Dewatering Systems	46 CFR 28.255	170	Electronic Position Fixing Devices	46 CFR 28.260
172	Emergency Instructions	46 CFR 28.265	199	Other	
178	Coaming Height	46 CFR 28.560 (b)	179	Deadlight Covers	46 CFR 28.560 (f)
180	Non US Master Violation	46 USC 12110 (d)	181	75/25 Crewing Standards	46 USC 8103
119	Negligent/Gross Negligent Operation	46 USC 2302	120	Intoxicated Operations	46 USC 2302 (c)
174	Fisheries Violation(s)		175	Unsafe Conditions -- Terminated Use	46 USC 4505
182	No Violation		183	Law Enforcement Action Taken	
DIST.	UNIT	PHONE NUMBER	BOARDING OFFICER'S NAME (Print)		RANK/RATE
 DEPARTMENT OF TRANSPORTATION UNITED STATES COAST GUARD CG-4100F (Revised 10/02)			BOARDING OFFICER'S SIGNATURE		

NOTE: See reverse side of this page for instructions on processing violations. BOATING SAFETY HOTLINE (800) 368-5647

ALL PREVIOUS EDITIONS ARE OBSOLETE

CASE FILE COPY

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